

03-30-01

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Please type a plus sign (+) inside this box [+]

PTO/SB/05 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 5306P007

(maximum 12 characters)

First Named Inventor Jeffrey HuangTitle: METHOD AND SYSTEM FOR DIRECT SERVER SYNCHRONIZATION WITH A COMPUTER DEVICEExpress Mail Label No. EL672752774US

ADDRESS TO: Assistant Commissioner for Patents
 Box Patent Application
 Washington, D. C. 20231

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ **Fee Transmittal Form (e.g., PTO/SB/17)**
 (Submit an original, and a duplicate for fee processing)
2. ☐ **Applicant Claims Small Entity Status. (37 CFR 1.27)**
3. ☒ **Specification (Total Pages 30)**
 (preferred arrangement set forth below)
 - Descriptive Title of the Invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference sequence listing, a table,
 or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☒ **Drawings(s) (35 USC 113) (Total Sheets 4)**
5. ☒ **Oath or Declaration (Total Pages 5)**
 - a. ☐ Newly Executed (Original or Copy)
 - b. ☐ Copy from a Prior Application (37 CFR 1.63(d))
 (for Continuation/Divisional with Box 17 completed)
 - i. ☐ DELETIONS OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
 - c. ☒ Unsigned.
6. ☐ **Application Data Sheet. (37 CFR 1.76)**
7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. ☐ Nucleotide and/or Amino Acid Sequence Submission
 (if applicable, all necessary)
 - a. ☐ Computer Readable Form (CRF)
 - b. ☐ Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ paper
 - c. ☐ Statement verifying identity of above copies

03/28/01
 Jc984 U.S. PTO

09820509 "032801"

Jc903 U.S. PTO
 09/820509

03/28/01

SECRET

- 18A. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:**

Applicant(s): Also include a Preliminary Amendment to amend the specification to claim priority. For CONTINUATION AND DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

The undersigned states that _____ (name of assignee) is the assignee of the entire right, title, and interest in the accompanying patent application by virtue of an assignment recorded in the Patent and Trademark Office at Reel No. _____ Frame No. _____ (or a copy of which is attached).

Country U.S.A. TELEPHONE (408) 720-8300 FAX (408) 720-9397

Signature: Sameer Datta Date: 3-28-01

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FEE TRANSMITTAL FOR FY 2001

| | |
|-------------------------------------|--------------------------|
| TOTAL AMOUNT OF PAYMENT (\$) | <u>\$1,130.00</u> |
|-------------------------------------|--------------------------|

Complete if Known:

Application No. Not Yet Assigned

Filing Date Herewith

First Named Inventor Jeffrey Huang

Group Art Unit Not Yet Assigned

Examiner Name Not Yet Assigned

Attorney Docket No. 5306P007

METHOD OF PAYMENT (check one)

1. **[x]** **The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:**

Deposit Account Number 02-2666
Deposit Account Name _____

- [x] Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17**

- [] Applicant claims small entity status. See 37 CFR 1.27**

- | | | | | |
|----|--------------|-------------------|---------------|-------------|
| 2. | <u> x </u> | Payment Enclosed: | <u> x </u> | Check |
| | | | <u> </u> | Credit Card |
| | | | <u> </u> | Money Order |
| | | | <u> </u> | Other |

FEE CALCULATION

1. BASIC FILING FEE

| <u>Large Entity</u> | | <u>Small Entity</u> | | | |
|---------------------|------|---------------------|------|------------------------------------|-----------------|
| Fee | Fee | Fee | Fee | | |
| Code | (\$) | Code | (\$) | <u>Fee Description</u> | <u>Fee Paid</u> |
| 101 | 710 | 201 | 355 | Utility application filing fee | \$710 |
| 106 | 320 | 206 | 160 | Design application filing fee | |
| 107 | 490 | 207 | 245 | Plant filing fee | |
| 108 | 710 | 208 | 355 | Reissue filing fee | |
| 114 | 150 | 214 | 75 | Provisional application filing fee | |

SUBTOTAL (1) \$ 710.00

2. EXTRA CLAIM FEES

| | | | | |
|------------------------------------|-----------------|----------------------------|------------------------------|------------------------|
| 2. <u>EXTRA CLAIM FEES</u> | | | <u>Fee from below</u> | <u>Fee Paid</u> |
| | | <u>Extra Claims</u> | | |
| Total Claims <u>30</u> | - 20** = | <u>10</u> | X \$18 | = \$180 |
| Independent Claims <u>6</u> | - 3** = | <u>3</u> | X \$80 | = \$240 |
| Multiple Dependent | | | | = |

****Or number previously paid, if greater; For Reissues, see below.**

| <u>Large Entity</u> | | <u>Small Entity</u> | | |
|---------------------|------|---------------------|------|---|
| Fee | Fee | Fee | Fee | |
| Code | (\$) | Code | (\$) | <u>Fee Description</u> |
| 103 | 18 | 203 | 9 | Claims in excess of 20 |
| 102 | 80 | 202 | 40 | Independent claims in excess of 3 |
| 104 | 270 | 204 | 135 | Multiple dependent claim, if not paid |
| 109 | 80 | 209 | 40 | **Reissue independent claims over original patent |
| 110 | 18 | 210 | 9 | **Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) \$ 420.00

09-03-2018

| <u>Large Entity</u> | <u>Small Entity</u> |
|--|---|
| <p>1. Revenue Recognition</p> <p>Large entities use the percentage of completion method for long-term contracts, recognizing revenue as work progresses. Small entities often use the cost of sales method, recognizing revenue only when the contract is completed.</p> | <p>1. Revenue Recognition</p> <p>Small entities typically use the cost of sales method for long-term contracts, recognizing revenue only when the contract is completed.</p> |
| <p>2. Inventory Valuation</p> <p>Large entities use the FIFO (First In, First Out) method for inventory valuation. Small entities may use the LIFO (Last In, First Out) method, which can provide a tax advantage in periods of rising prices.</p> | <p>2. Inventory Valuation</p> <p>Small entities may use the LIFO (Last In, First Out) method for inventory valuation, which can provide a tax advantage in periods of rising prices.</p> |
| <p>3. Depreciation</p> <p>Large entities use the MACRS (Modified Accelerated Cost Recovery System) for depreciation. Small entities may use the straight-line method for depreciation, which is simpler but may result in higher taxable income in the early years of an asset's life.</p> | <p>3. Depreciation</p> <p>Small entities may use the straight-line method for depreciation, which is simpler but may result in higher taxable income in the early years of an asset's life.</p> |
| <p>4. Bad Debt Expense</p> <p>Large entities use the allowance method for bad debt expense, estimating the amount of uncollectible accounts at the end of each period. Small entities may use the direct write-off method, recognizing bad debt expense only when an account is determined to be uncollectible.</p> | <p>4. Bad Debt Expense</p> <p>Small entities may use the direct write-off method for bad debt expense, recognizing bad debt expense only when an account is determined to be uncollectible.</p> |
| <p>5. Lease Accounting</p> <p>Large entities use the ASC 842 (Leases) for lease accounting, recognizing lease liabilities and assets on the balance sheet. Small entities may use the ASC 840 (Leases) for lease accounting, which allows for more flexibility in lease classification.</p> | <p>5. Lease Accounting</p> <p>Small entities may use the ASC 840 (Leases) for lease accounting, which allows for more flexibility in lease classification.</p> |

Other fee (specify) _____

Other fee (specify) _____

***Reduced by Basic Filing Fee Paid**

Typed or Printed Name: Sanjeet Dutta
Signature: Sanjeet Dutta Date: 3-28-01
Reg. Number: 46,145 Telephone Number: _____

PTO/SB/17

Jc903 U.S. PTO
09/820509
03/28/01

EXPRESS MAIL CERTIFICATE OF MAILING

"Express Mail" mailing label number: EL672752774US

Date of Deposit: MARCH 28, 2001

I hereby certify that I am causing this paper or fee to be deposited with the United States Postal Service "Express Mail Post Office to Addressee" service on the date indicated above and that this paper or fee has been addressed to the Assistant Commissioner for Patents, Washington, D. C. 20231

Patricia A. Balera

(Typed or printed name of person mailing paper or fee)

Patricia A. Balera

(Signature of person mailing paper or fee)

3/28/01

(Date signed)

Serial/Patent No.: *** Filing/Issue Date: Herewith
Client: SIEBEL SYSTEMS, INC.
Title: METHOD AND SYSTEM FOR DIRECT SERVER SYNCHRONIZATION WITH A COMPUTER DEVICE
BSTZ File No.: 5306P007 Atty/Secty Initials: SKD/gw/pab
Date Mailed: 3/28/01 Docket Due Date: _____

The following has been received in the U.S. Patent & Trademark Office on the date stamped hereon:

| | | |
|--|--|--|
| <input type="checkbox"/> Amendment/Response (____ pgs.) | <input checked="" type="checkbox"/> Express Mail No. <u>EL672752774US</u> <input checked="" type="checkbox"/> Check No. <u>81189</u> | Amt: <u>\$1,130.00</u> |
| <input type="checkbox"/> Appeal Brief (____ pgs.) (in triplicate) | <input type="checkbox"/> _____ Month(s) Extension of Time | <input type="checkbox"/> Check No. _____ |
| <input checked="" type="checkbox"/> Application - Utility (<u>30</u> pgs., with cover and abstract) | <input type="checkbox"/> Information Disclosure Statement & PTO 140 (____ pgs.) | Amt: _____ |
| <input type="checkbox"/> Application - Rule 1.53(b) Continuation (____ pgs.) | <input type="checkbox"/> Issue Fee Transmittal | |
| <input type="checkbox"/> Application - Rule 1.53(b) Divisional (____ pgs.) | <input type="checkbox"/> Notice of Appeal | |
| <input type="checkbox"/> Application - Rule 1.53(b) CIP (____ pgs.) | <input type="checkbox"/> Petition for Extension of Time | |
| <input type="checkbox"/> Application - Rule 1.53(d) CPA Transmittal (____ pgs.) | <input type="checkbox"/> Petition for _____ | |
| <input type="checkbox"/> Application - Design (____ pgs.) | <input checked="" type="checkbox"/> Postcard | |
| <input type="checkbox"/> Application - PCT (____ pgs.) | <input type="checkbox"/> Power of Attorney (____ pgs.) | |
| <input type="checkbox"/> Application - Provisional (____ pgs.) | <input type="checkbox"/> Preliminary Amendment (____ pgs.) | |
| <input type="checkbox"/> Assignment and Cover Sheet | <input type="checkbox"/> Reply Brief (____ pgs.) | |
| <input checked="" type="checkbox"/> Certificate of Mailing (Express Mail) | <input type="checkbox"/> Response to Notice of Missing Parts | |
| <input checked="" type="checkbox"/> Declaration & POA (<u>5</u> pgs.) (Unsigned) | <input type="checkbox"/> Small Entity Declaration for Indep. Inventor/Small Business | |
| <input type="checkbox"/> Disclosure Docs & Orig & Copy of Inventor's Signed Letter (____ pgs.) | <input checked="" type="checkbox"/> Transmittal Letter, in duplicate (2 pgs.) | |
| <input checked="" type="checkbox"/> Drawings: <u>4</u> # of sheets includes <u>4</u> figures | <input checked="" type="checkbox"/> Fee Transmittal, in duplicate (2 pgs.) | |

☒ Other: A copy of the postcard w/express mail stamp (1 pg.)